



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Re application of: Martin, et al.

Serial No.: 10/690,877                      Group Art Unit: 3742

Filed: 10/22/2003                      Examiner: Walberg, Teresa J.

For: HEAT EXCHANGER, METHOD OF FORMING A SLEEVE WHICH  
MAY BE USED IN THE HEAT EXCHANGER, AND A SLEEVE  
FORMED BY THE METHOD

Atty Dkt. No.: 60,680-0743

Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.97**

Dear Commissioner:

Applicant submits this Supplemental Information Disclosure Statement in accordance with the duty of disclosure under 37 C.F.R. § 1.56 and §1.97-1.98, and more particularly in accordance with 37 C.F.R. § 1.97(d). A statement under 37 C.F.R. § 1.97(e)(1) is set forth below. Enclosed herewith is the List of References Cited by Applicant (PTO-1449) (one sheet), as well as a copy of each non-U.S. patent or published U.S. patent application listed thereon. In accordance with 37 C.F.R. § 1.98(a)(2)(iv), a copy of an International Search Report obtained in connection with a corresponding application under the Patent Cooperation Treaty has been included herewith.

For those references listed on attached Form PTO-1449 that are in English, no further commentary by Applicant is believed necessary, 37 C.F.R. § 1.98(a)(3). For JP-56-53824, Applicant refers the Examiner to the English language Search Report submitted herewith, as well

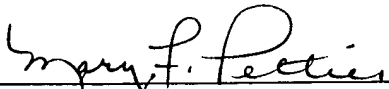
03/31/2005 HAL111 00000054 042223 10690877

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**CERTIFICATE OF EXPRESS MAIL**

I hereby certify that this *Supplemental Information Disclosure Statement Under 37 C.F.R. § 1.97* is being deposited with the United States Postal Service as Express Mail No. ER521952147US, postage prepaid, in an envelope addressed to Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 29<sup>th</sup> day of March, 2005.

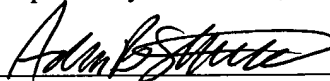
  
\_\_\_\_\_  
Mary F. Peltier

App. No. 10/690,877  
Docket No. 60,680-743

an English language abstract submitted herewith. MPEP § 609. Applicant respectfully solicits the Examiner's consideration of the cited references and entry thereof into the record of this application.

Applicant, by submitting this Information Disclosure Statement, is attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. § 1.56. As such, this Information Disclosure Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, is otherwise "material to patentability," as that term is defined in that section.

Respectfully submitted,



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Date: March 29, 2005

Attorney for Applicant(s)

**CERTIFICATION UNDER 37 C.F.R. § 1.97(e)(1)**

I, Adam B. Strauss, a registered Patent Attorney having a Registration No. 43,167, and representative for Applicant in the above-captioned application, hereby certify that each item of information contained in this Information Disclosure Statement (including the accompanying Form PTO-1449) was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

Respectfully submitted,



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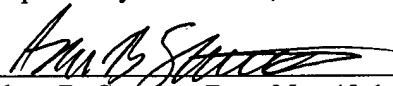
Date: March 29, 2005

Attorney for Applicant(s)

**PETITION AND FEE**

Applicant hereby petitions for consideration of this Supplemental Information Disclosure Statement and authorizes the Assistant Commissioner to deduct the fee of \$180.00 required under 37 C.F.R. § 1.97(d)(2) and 37 C.F.R. § 1.17(p) from Deposit Account No. 04-2223. The Assistant Commissioner is further to authorized deduct any additional fees required in connection with this Supplemental Information Disclosure Statement from Deposit Account No. 04-2223 and to credit any overpayment to Deposit Account No. 04-2223

Respectfully submitted,



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Dated: March 29, 2005

<b>Form PTO-1449 U.S. DEPARTMENT OF COMMERCE (Rev. 2-32) PATENT AND TRADEMARK OFFICE</b>				<b>Attorney Docket No. 60680-743</b>		<b>Serial No. 10/690,877</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use Several Sheets if Necessary)</b>				<b>Applicants: Martin <i>et al</i></b>			
				<b>Filing Date October 22, 2003</b>		<b>Group Art Unit: 3742</b>	
<b>U.S. PATENT DOCUMENTS</b>							
<b>Examiner Initial</b>	<b>Document Number</b>	<b>Date (MM/DD/YY)</b>	<b>Name</b>	<b>Class</b>	<b>Subclass</b>	<b>Filing Date if Appropriate (MM/DD/YY)</b>	
	3,661,203	05/09/1972	Mesher				
	4,668,443	05/26/1987	Rye				
	5,806,584	09/15/1998	Thonon <i>et al</i>				

**FOREIGN PATENT DOCUMENTS**

						<b>Translation</b>	
<b>Examiner Initial</b>	<b>Document Number</b>	<b>Date</b>	<b>Country</b>	<b>Class</b>	<b>Sub-Class</b>	<b>Yes</b>	<b>No</b>
	56-53824	05/13/1981	JP				

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

<b>EXAMINER</b>	<b>DATE CONSIDERED</b>	
<p>* Examiner: Initial citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>		